

# LION Anti-Bribery Principles

LION Group will, in accordance with the global trend of reinforced legal regulations against the acts of bribery and corruption, set out the following basic principles with a view to preventing such acts of bribery and corruption and thoroughly requires the pursuit of business activities in compliance with all applicable laws of the countries and regions where we are conducting business.

1. LION Group will, in accordance with 2. Compliance with Public Rules – “We shall observe all relevant laws and regulations and engage in fair, transparent and free competition and proper transactions”, as provided for in Lion Group Charter for Corporate Behavior, comply with anti-bribery laws applicable in Japan and abroad as well as corporate ethics and will not therefore tolerate any act of bribery or corruption.
2. LION Group shall not, for whatever reason, seek any profits that could not be procured without acts of bribery or corruption or any similar unjust means. We also require all business partners involved in our business, whether in Japan or abroad, to fully understand the objective of this policy and to absolutely avoid seeking any profits for our company by these unjust means.
3. LION Group will designate the officer(s) in charge of corporate ethics as supervisory manager responsible for prevention of bribery and take such measures as education and reinforced whistle-blowing hotlines necessary for prevention of bribery, thereby ensuring effective enforcement and maintenance of our compliance system.

Established January 1st, 2019

Lion Corporation